Oberseminar Algebra, Zahlentheorie und Diskrete Mathematik Leibniz Universität Hannover

# Towards the computation of minimal models of symplectic quotient singularities

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#### Symplectic quotient singularities

Mori dream spaces and Cox rings

An algorithm for  $\mathcal{R}(X)$ 

Namikawa's hyperplanes

#### Linear quotients

V: symplectic 
$$\mathbb{C}$$
-vector space, dim $(V) = n < \infty$   
 $G \leq Sp(V)$ : finite group

The *linear quotient* of V by G is the affine variety

$$V/G = \operatorname{Spec} \mathbb{C}[V]^G$$
 "=" space of orbits.

#### Example

$$V = \mathbb{C}^2 \text{ and } G = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \right\} \le \mathsf{GL}_2(\mathbb{C})$$
$$\mathbb{C}[V]^G \cong \mathbb{C}[x, y, z] / \langle xy - z^2 \rangle$$
$$V/G = V(xy - z^2) \subseteq \mathbb{A}^3$$

Fact: V/G is singular.



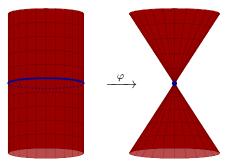
## Symplectic varieties

The variety V/G inherits the symplectic structure of V.  $\implies V/G$  is a symplectic variety (Beauville, 2000).

A symplectic resolution of V/G is a projective resolution  $\varphi: X \to V/G$  with X a symplectic variety and  $\varphi$  an isomorphism of symplectic varieties over the smooth locus.

#### Example

Minimal resolutions of Kleinian singularities



#### Existence of symplectic resolutions

In general, there is no symplectic resolution of V/G (for example,  $G = \langle -I_4 \rangle$ ).

Classification of quotients admitting a symplectic resolution: ongoing work since  ${\sim}2000.$ 

Only 45 groups left to classify.

Symplectic resolutions only exist in special cases.

Fact: There is always a symplectic partial resolution – a  $\mathbb{Q}$ -factorial terminalization – of V/G (Birkar–Cascini–Hacon–McKernan, 2010).

Question: Can we construct  $X \rightarrow V/G$  algorithmically?

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#### Mori dream spaces and Cox rings

In the following:  $\varphi: X \to V/G$  symplectic resolution (or a  $\mathbb{Q}$ -factorial terminalization)

Proposition (Namikawa, 2015) The variety X is a relative Mori dream space over V/G.

Equivalently: The Cox ring  $\mathcal{R}(X)$  is a finitely generated  $\mathbb{C}$ -algebra.

Assume that Cl(X) is free. The Cox ring of X is the algebra

$$\mathcal{R}(X) = \bigoplus_{[D] \in \mathsf{Cl}(X)} \Gamma(X, \mathcal{O}_X(D)).$$

Example  $\mathcal{R}(\mathbb{P}^n) = \mathbb{C}[x_0, \dots, x_n]$  with the standard grading.

#### GIT quotients (for algebraists)

The class group CI(X) is a finitely generated abelian group. In all 'interesting cases', the group CI(X) is free (S., 2024).

Given a  $D \in Div(X)$ , we obtain a positively graded algebra

$$S(D) = \bigoplus_{k \in \mathbb{Z}_{\geq 0}} \Gamma(X, \mathcal{O}_X(kD))$$

and the variety  $X(D) = \operatorname{Proj} S(D)$ .

For some D (ample), we have  $X(D) \cong X$ .

All  $\mathbb{Q}$ -factorial terminalizations of V/G arise in this way.

How to construct X:

- (1) Compute  $\mathcal{R}(X)$  (without knowing X!)
- (2) Find a good  $D \in Div(X)$
- (3) Compute S(D)

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# An algorithm for $\mathcal{R}(X)$

Namikawa's hyperplanes

#### An embedding

Proposition (Grab, 2019)

There is an injective graded morphism

$$\Theta: \mathcal{R}(X) 
ightarrow \mathcal{R}(V/G) \otimes_{\mathbb{C}} \mathbb{C}[\mathsf{Cl}(X)^{\mathsf{free}}].$$

Fact: We have  $Cl(V/G) \cong Hom(G, \mathbb{C}^{\times})(=\Delta)$  (Benson, 1993).

Theorem (Arzhantsev–Gaĭfullin, 2010) There is a graded isomorphism

$$\mathcal{R}(V/G) \cong \mathbb{C}[V]^{[G,G]},$$

where the graded component of  $\chi \in \Delta$  is given by

$$\mathbb{C}[V]^{[\mathcal{G},\mathcal{G}]}_{\chi} = \{ f \in \mathbb{C}[V]^{[\mathcal{G},\mathcal{G}]} \mid \gamma.f = \chi(\gamma)f \text{ for all } \gamma \in \mathcal{G} \}.$$

#### MDSs and Cox rings An algorithm for $\mathcal{R}(X)$ Namikawa's hyperplane

#### Example

Let  $G \leq \operatorname{GL}_4(\mathbb{C})$  acting on  $V = \mathbb{C}^4$  be generated by

$$r = \begin{pmatrix} \zeta_3 & \cdot & \cdot & \cdot \\ \cdot & \zeta_3^{-1} & \cdot & \cdot \\ \cdot & \cdot & \zeta_3^{-1} & \cdot \\ \cdot & \cdot & \cdot & \zeta_3 \end{pmatrix} \text{ and } s = \begin{pmatrix} \cdot & 1 & \cdot & \cdot \\ 1 & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & 1 \\ \cdot & \cdot & 1 & \cdot \end{pmatrix}$$

Then  $[G, G] = \langle r \rangle \cong C_3$ , so  $Cl(V/G) \cong \Delta \cong Ab(G) = \mathbb{Z}/2\mathbb{Z}$ . The ring  $\mathcal{R}(V/G) \cong \mathbb{C}[V]^{[G,G]}$  is generated by: In degree  $\chi_0$ :

$$x_1x_2, x_3x_4, x_1x_3 + x_2x_4, x_1^3 + x_2^3, x_3^3 + x_4^3, x_2x_3^2 + x_1x_4^2, x_2^2x_3 + x_1^2x_4$$

In degree  $\chi_1$ :

$$x_1x_3 - x_2x_4, \ x_1^3 - x_2^3, \ x_3^3 - x_4^3, \ x_2x_3^2 - x_1x_4^2, \ x_2^2x_3 - x_1^2x_4$$

## Reduction to $\mathcal{R}(V/G)$

There is an injective graded morphism

$$\Theta: \mathcal{R}(X) \to \mathcal{R}(V/G) \otimes_{\mathbb{C}} \mathbb{C}[\mathsf{Cl}(X)^{\mathsf{free}}].$$

Let 
$$\operatorname{Cl}(X)^{\operatorname{free}} \cong \mathbb{Z}^m$$
, so  $\mathbb{C}[\operatorname{Cl}(X)^{\operatorname{free}}] = \mathbb{C}[t_1^{\pm 1}, \dots, t_m^{\pm 1}].$ 

There are valuations  $v_i : \mathbb{C}[V] \setminus \{0\} \to \mathbb{Z}$  coming from certain elements of *G* (Ito–Reid, 1996).

Given  $f \in \mathcal{R}(V/G)$ , we have

$$f\otimes\prod_{i=1}^m t_i^{v_i(f)}\in {\sf im}(\Theta).$$

Aim: Find homogeneous  $f_1, \ldots, f_k \in \mathcal{R}(V/G)$  that give rise to generators of  $im(\Theta)$ .

Homogeneous Khovanskii bases Mise en place I

Assumption: m = 1, there is just one valuation  $v = v_1$ .

Idea: Find special generators whose 'initial terms' generate the 'initial algebra'.

We have a filtration

$$F_{v \ge a} = \{f \in \mathbb{C}[V] \setminus \{0\} \mid v(f) \ge a\} \cup \{0\}$$

with  $a \in \mathbb{Z}$  and the associated graded algebra

$$\operatorname{gr}_{v}(\mathbb{C}[V]) = \bigoplus_{a \in \mathbb{Z}} F_{v \geq a}/F_{v > a}.$$

Homogeneous Khovanskii bases Mise en place II

Let  $f \in \mathbb{C}[V] \setminus \{0\}$  with valuation v(f) = a. We write  $in_v(f) \in gr_v(\mathbb{C}[V])$  for the residue class of f in  $F_{v \geq a}/F_{v > a}$ .

Let  $f \in \mathbb{C}[V]^{[G,G]} \setminus \{0\}$  be  $\Delta$ -homogeneous of degree  $\deg_{\Delta}(f) = \chi$ .

We define

$$\operatorname{in}_{v}^{\Delta}(f) = \operatorname{in}_{v}(f) \otimes \chi \in \operatorname{gr}_{v}(\mathbb{C}[V]) \otimes_{\mathbb{C}} \mathbb{C}\Delta.$$

Let  $\operatorname{in}_{\nu}^{\Delta}(\mathbb{C}[V]^{[G,G]})$  be the algebra generated by  $\{\operatorname{in}_{\nu}^{\Delta}(f) \mid f \in \mathbb{C}[V]^{[G,G]} \Delta\text{-homogeneous}\}.$ 

#### Example

With G as before, we have  $gr_{V}(\mathbb{C}[V]) = \mathbb{C}[V] = \mathbb{C}[x_{1}, \dots, x_{4}]$ , but with a non-standard grading.

Let 
$$y_1 = \frac{1}{2}(x_1 + x_2)$$
,  $y_2 = \frac{1}{2}(x_1 - x_2)$ ,  $y_3 = \frac{1}{2}(x_3 + x_4)$  and  $y_4 = \frac{1}{2}(x_3 - x_4)$ .

The ring  $\operatorname{gr}_{v}(\mathbb{C}[V])$  is generated in degree 0 and 1 via

$$\operatorname{gr}_{\nu}(\mathbb{C}[V])_0 = \langle y_1, y_3 \rangle_{\mathbb{C}} \text{ and } \operatorname{gr}_{\nu}(\mathbb{C}[V])_1 = \langle y_2, y_4 \rangle_{\mathbb{C}}.$$

For example:

$$\begin{aligned} x_1 &= y_1 + y_2 \Longrightarrow \mathsf{in}_v(x_1) = y_1 \\ x_1 x_2 &= (y_1 + y_2)(y_1 - y_2) \Longrightarrow \mathsf{in}_v^\Delta(x_1 x_2) = y_1^2 \otimes \chi_0 \end{aligned}$$

#### Homogeneous Khovanskii bases

Let  $\mathcal{B} \subseteq \mathbb{C}[V]^{[G,G]}$  be a set of  $\Delta$ -homogeneous generators of  $\mathbb{C}[V]^{[G,G]}$  as a  $\mathbb{C}$ -algebra.

We call  $\mathcal{B}$  a  $\Delta$ -homogeneous Khovanskii basis of  $\mathbb{C}[V]^{[G,G]}$  with respect to v, if  $\{\operatorname{in}_{v}^{\Delta}(f) \mid f \in \mathcal{B}\}$  generates  $\operatorname{in}_{v}^{\Delta}(\mathbb{C}[V]^{[G,G]})$ .

Theorem (Yamagishi, 2018; Grab, 2019; S., 2024+) Homogeneous elements  $f_1, \ldots, f_k \in \mathbb{C}[V]^{[G,G]}$  give rise to generators of im( $\Theta$ ) if and only if  $\{f_1, \ldots, f_k\}$  is a  $\Delta$ -homogeneous Khovanskii basis of  $\mathbb{C}[V]^{[G,G]}$  with respect to v.

#### Computing a Khovanskii basis

- (1) Let  $\mathcal{B} = \{f_1, \dots, f_k\}$  be  $\Delta$ -homogeneous generators of  $\mathbb{C}[V]^{[G,G]}$ .
- (2) Compute the kernel  $\langle h_1, \ldots, h_l \rangle$  of the morphism

 $\mathbb{C}[X_1,\ldots,X_k] \to \operatorname{in}_{v}^{\Delta}(\mathbb{C}[V]^{[G,G]}), \ X_i \mapsto \operatorname{in}_{v}^{\Delta}(f_i).$ 

- (3) 'Reduce'  $h_i(f_1, \ldots, f_k)$  with respect to  $\mathcal{B}$  and add non-zero remainders to  $\mathcal{B}$ .
- (4) If elements were added: go to (2), else: done.



In the example, the given generators of  $\mathbb{C}[V]^{[G,G]}$  already form a homogeneous Khovanskii basis.

Generators of  $\mathcal{R}(X) \subseteq \mathbb{C}[V] \otimes \mathbb{C}[t^{\pm 1}]$  are given by

 $\begin{array}{c} x_1x_2, \ x_3x_4, \ x_1x_3+x_2x_4, \ x_1^3+x_2^3, \ x_3^3+x_4^3, \ x_2x_3^2+x_1x_4^2, \ x_2^2x_3+x_1^2x_4 \\ (x_1x_3-x_2x_4)t, \ (x_1^3-x_2^3)t, \ (x_3^3-x_4^3)t, \ (x_2x_3^2-x_1x_4^2)t, \ (x_2^2x_3-x_1^2x_4)t, t^{-2} \end{array}$ 

where the grading by  $Cl(X) = \mathbb{Z}$  is via the degree of t.

#### Some remarks

A finite Khovanskii basis may not exists in a more general setting, but here it does ( $\rightarrow$  MDS).

The reduction algorithm may not terminate in a more general setting, but here it does.

Generalization to m > 1 valuations exists (MUVAK bases).

There is an algorithm, but no filtration and no reduction algorithm available.

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#### Namikawa's hyperplanes

There is a wall-and-chamber structure in a subcone of  $\mathbb{R}^m$ .

Chambers  $\hat{=}$  isomorphism classes of  $\mathbb{Q}$ -factorial terminalizations.

Walls give divisors D such that X(D) is not a  $\mathbb{Q}$ -factorial terminalization.

Namikawa, 2015: The walls come from a hyperplane arrangement and there is a reflection group acting on this arrangement.

This requires that V/G is symplectic.